



Mid-term Event - 22/10/2020

Scenario based validation for CAD: Initiatives, projects and state of the art

Jean-Baptiste Coget, VEDECOM



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# Use cases and requirements (Work Package 1)

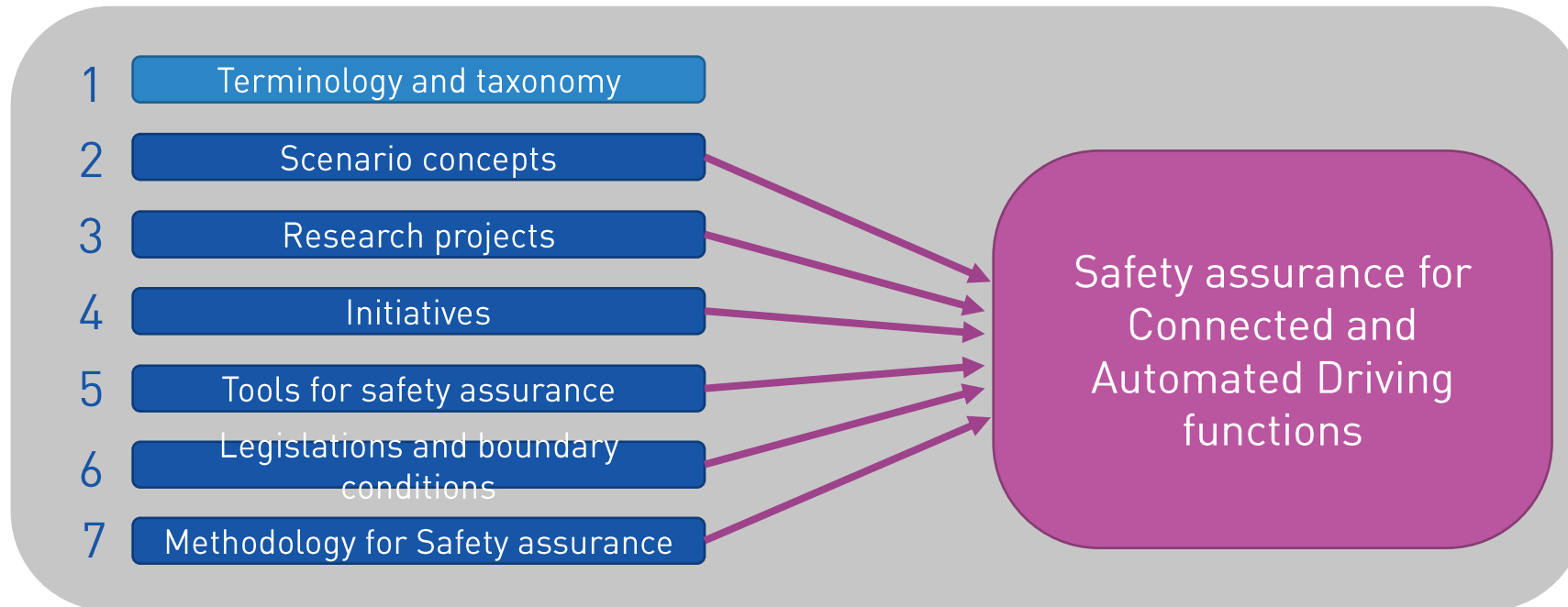
Timeline : From January to September 2019

## Objectives :

- 1) Develop a comprehensive technical analysis and gap analysis on testing and validation methodologies based on ongoing initiatives worldwide.
- 2) Identify the needs of stakeholders and target groups and prioritise the use cases arising.
- 3) Identify the functional and technical requirements for Key Enabling Technologies (Cyber-security, Positioning, Connectivity).
- 4) Select the most relevant use cases in various traffic scenarios and detail their functional requirements.

# I- State of innovation and gap analysis

- ✓ Topic led by IKA:  INSTITUT FÜR KRAFTFAHRZEUGE  
 RWTH AACHEN UNIVERSITY
- ✓ **Objective:** Provide a detailed analysis of the existing state of the art on validation and testing methodology for CAD and identify the main gaps based on the available results.
- ✓ 7 aspects of the state of innovation.



# 1- Terminology and taxonomy

## Terminology:

- ✓ **Project analysis** : list the terms linked to CAD from 28 projects and standards (DIN-SAE Spec 91381; ISO/PAS 21448 ...)
- ✓ **Selection** of the most representative terms.
  - Some terms have multiple meanings
  - The same concept is expressed with different terms
- ✓ **Criteria** for selecting terms and definition :
  - Relevance to the scope of HEADSTART
  - Generality and representativeness
  - Clarity and conciseness
- ✓ A unified and unambiguous glossary has been defined with ~45 terms

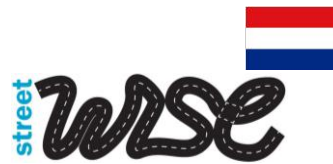
## Taxonomy for automation levels:

- ✓ Most projects follow the SAE - J3016 taxonomy
- ✓ Other model found : BASt and NHTSA

Level	SAE - J3016	BASt	NHTSA
0	No Automation	Driver Only	No Automation
1	Driver Assistance	Assisted	Function Specific Automation
2	Partial Automation	Partly Automated	Combined Function Automation
3	Conditional Automation	Highly Automated	Limited Self-Driving Automation
4	High Automation	Fully Automated	Full Self-Driving Automation
5	Full Automation	/	/

## 2- Scenario concepts

- ✓ Objective : Definition of driving scenarios
- ✓ Scenario concepts detailed form several research projects :



## 3- Research projects

- ✓ Objective : Identify CAD research projects of interest for HEADSTART
- ✓ 38 projects identified
- ✓ Focus on HEADSTART's Key Enabling Technologies
  - V2X communication → 9 projects
  - Cyber security → 7 projects
  - Positioning → 8 projects
- ✓ Focus on CAD-Test-definition & Testing-activities → 22 projects

# 3- Research projects



## Other projects:

- ✓ HoliSec
- ✓ MuCCA
- ✓ PROSPECT
- ✓ ESCAPE
- ✓ Cooperative driving at traffic intersections
- ✓ Grand Cooperative Driving Challenge
- ✓ Coordination of CAVs over 5G
- ✓ ADAS & me

# 4-

- ✓ Objective: Identify CAP initiatives of interest for HEADSTART
- ✓ 22 relevant initiatives found
- ✓ Classification into several topics:

## Manufacturers

**JAMA** Japan Automobile Manufacturers Association, Inc.



ACEA

European Automobile Manufacturers Association

**PFA** FILIÈRE AUTOMOBILE & MOBILITÉS

**@OICA**

## Public



National Transport Commission **ntc**



**UNECE**

## KETs



**CAR 2 CAR**  
COMMUNICATION CONSORTIUM

## Consumer



## Other relevant initiatives



**EATA**  
European Automotive and Telecom Alliance



**ECSEL JU**



**EGVI**  
European Green Vehicles Initiative



Australia & New Zealand Driverless Vehicle Initiative

**CETRA**



**EUROPEAN TRUCK PLATOONING**



戦略的イノベーション創造プログラム

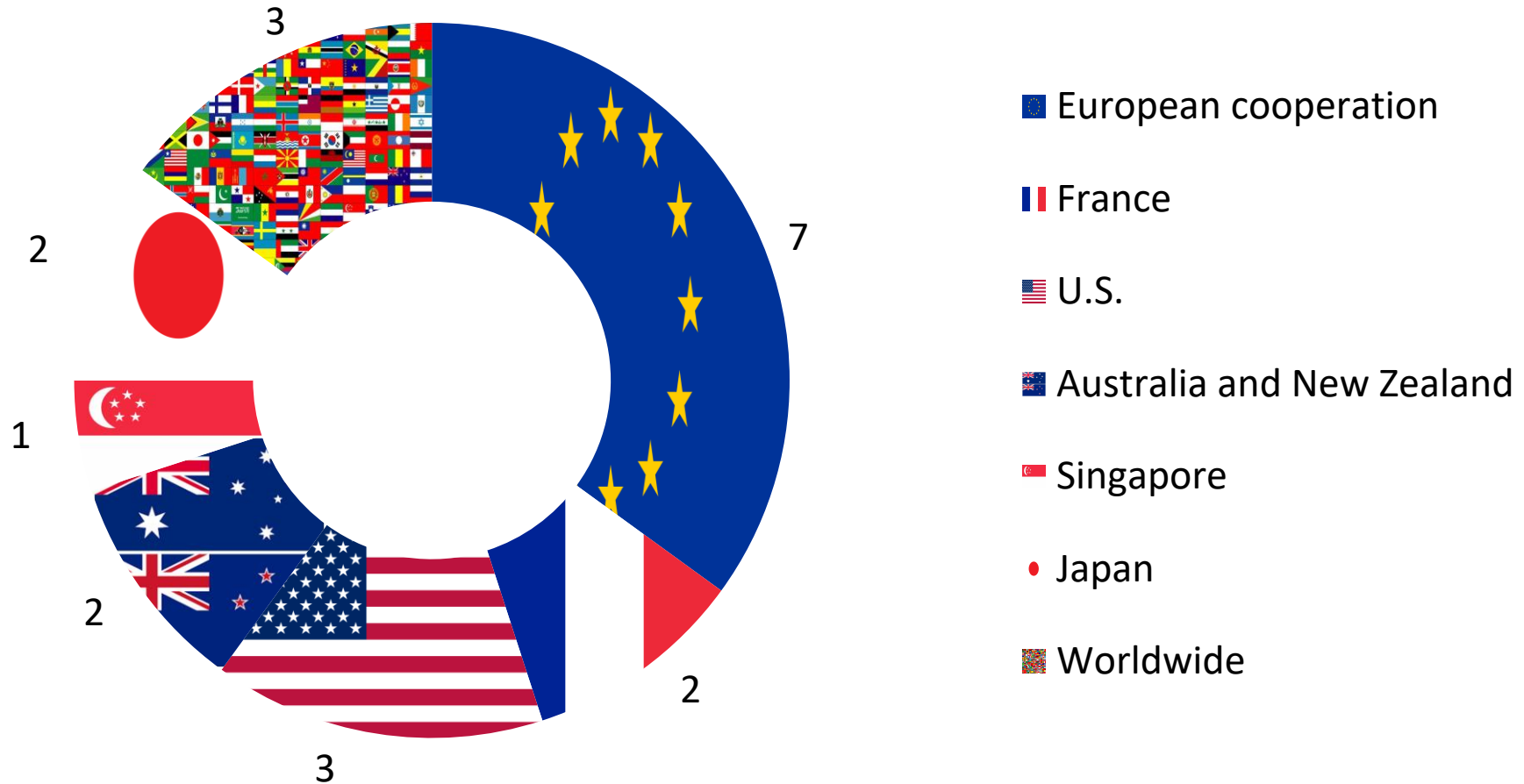


American Center for Mobility  
CONNECTED. AUTOMATED. VALIDATED.

Nouvelle France Industrielle (NFI)

# 4- Initiatives

## Localisation of the Initiatives





# 5- Tools for safety assurance

- ✓ Objective : List the main tools relevant for HEADSTART
- ✓ Four categories of tools of interest for HEADSTART:

## Annotation tools

*Addition of relevant metadata*

- Video Annotation tools
- Annotation tools for 3D content
- Web platforms offering data annotation services
- Annotation tools from initiatives
- ...

## Recording tools

*Synchronous raw data acquisition*

- RTMaps
- Polysync Core
- IDIADA IDAPT
- LogicBricks logiRECORDER 3.0
- ...

## Simulation tools

- NVIDIA DRIVE
- Microsoft AirSim
- Simcenter Prescan
- CARLA
- IPG Carmaker
- rFpro
- VIRES VTD
- ...

## Dummies

- Global Vehicle Target (GVT)
- Pedestrian Target (PT)
- Bicyclist Target (BT)
- ...

## Software to control dummies

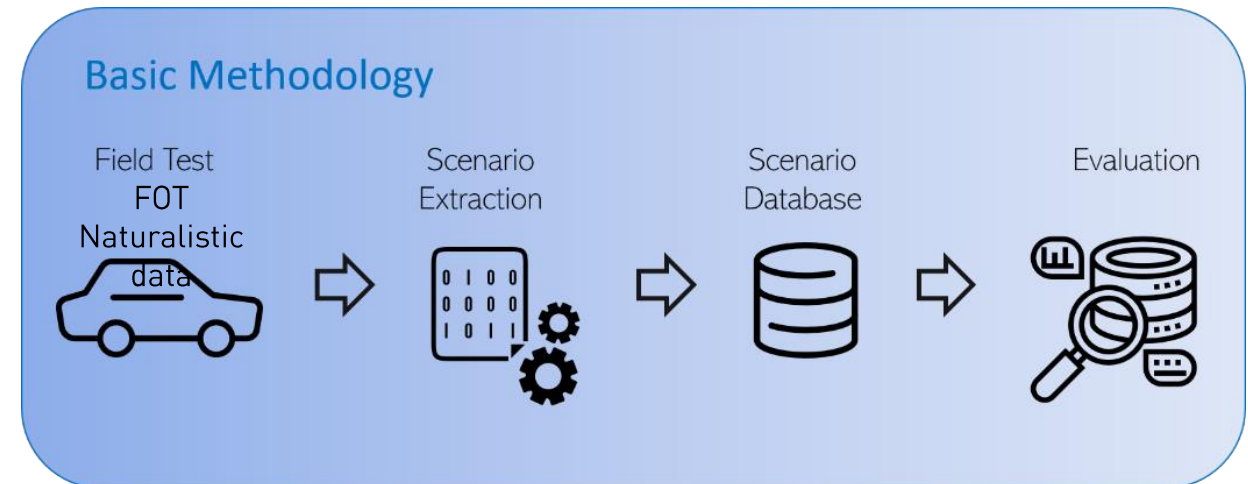
- Robot Operating System (ROS)
- Automotive Data and Time-Triggered Framework (ADTF)
- ...

## 6- Legislations and boundary conditions

- ✓ **Objective:** Assess the current legislation on type approval for CAD functions, identify the relevant legislations for HEADSTART.
- ✓ 56 document reviewed from European and non-European Legislation, National Legislation, Standards and Guidelines

## 7- Methodology for Safety assurance

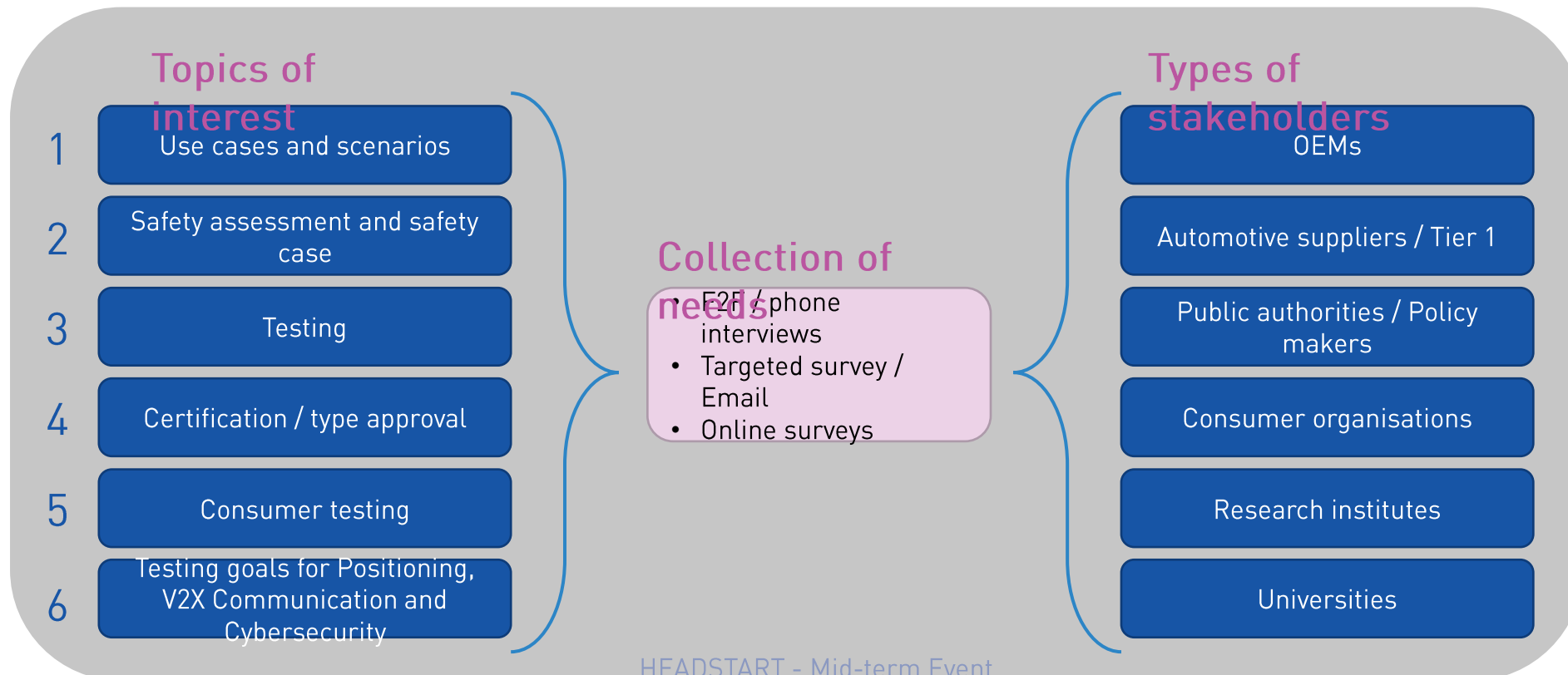
- ✓ **Objective:** Define the methodologies for safety assurance of automated driving functions



## II- Identification of stakeholder needs



- ✓ Topic led by VEDECOM:
- ✓ **Objective:** Identify the needs on methodologies and procedures (demonstrations, certifications), tools and standards from the viewpoint of different stakeholders and user groups.



## II- Identification of stakeholder needs

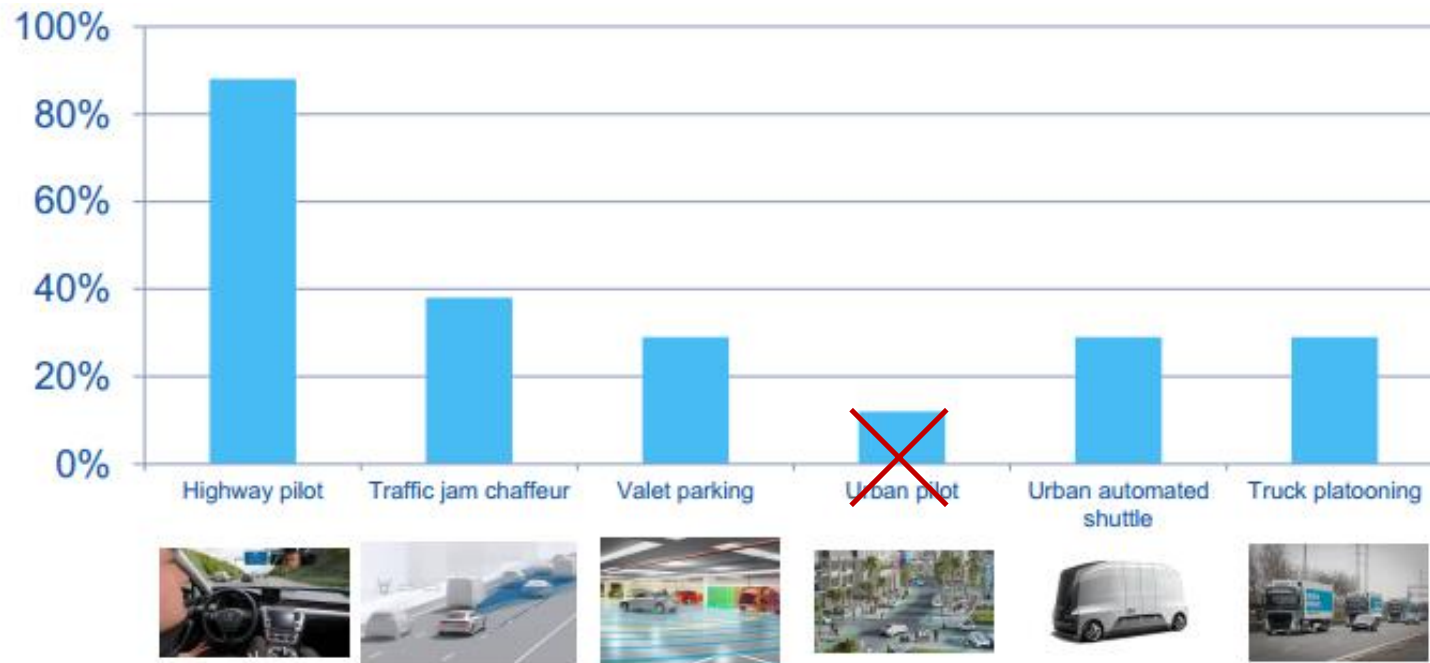
✓ Quantitative results for the 6 interview topics:

Type of stakeholder	F2F / phone interviews	Targeted survey / Email	Total
OEMs	5	3	8
Automotive suppliers / Tier 1	1	2	3
Public authorities / Policy makers	3	1	4
Consumer organisations	0	1	1
Research institutes	11	16	27
Universities	0	1	1
<b>Total</b>	<b>20</b>	<b>24</b>	<b>44</b>

✓ +14 online surveys answered

# 1- Use cases and scenarios

- ✓ **Objective:** Identify the priority use cases for stakeholders
- ✓ **Survey results for use cases:**
  - User groups needs on use cases have been presented at an expert workshop in Eindhoven in June 2019
  - The open discussions with the stakeholders confirmed that « urban pilot » is not a priority for them.

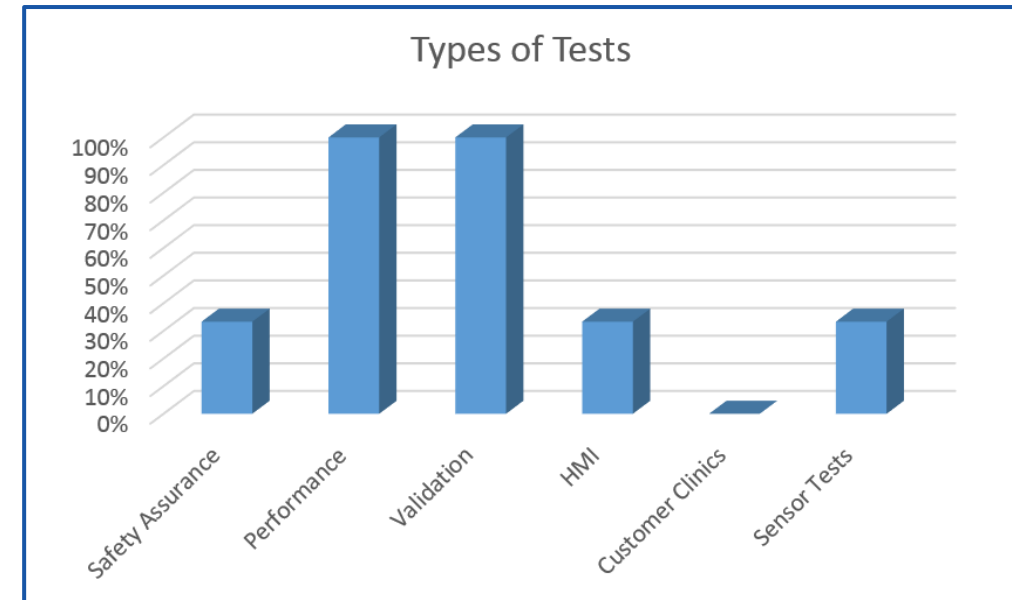
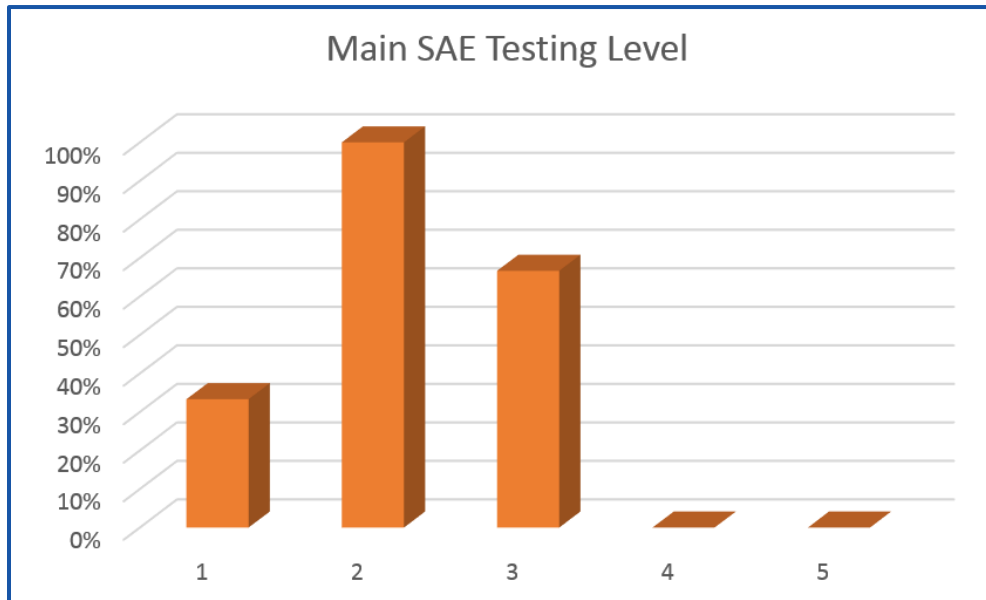


## 2-Safety assessment and safety case

- ✓ **Objective:** Identify the main risks introduced by CAD functions and the means to improve safety
  
- ✓ **Main risks introduced by CAD functions:**
  - Misusing the system (ex: no respect of the ODD)
  - Encountering an unknown scenario
  - Software update and bugs
  - Criminal activities / cyber-attack
  - Loss of positioning / Connectivity
  - ...
  
- ✓ **Best approach to take to ensure safety:**
  - Scenario-based approach
  - Validation → Combination of different test instances (e.g. simulation, test track, open road)
  - Procedure and tools → Safety Of The Intended Functionality (ISO/PAS 21448) + ISO 26262
  - No current legislation for the safety of CAD functions of level 3+

# 3- Testing

- ✓ **Objective:** Identify the needs on testing CAD functions
  - Most of the testing is taking place on SAE levels 2 and 3 but will soon evolve to SAE level 3+
  - Testing organisations are focusing on performance and validation tests.



- No fixed methodologies stated except from protocols like EuroNCAP
- Need for a common methodology and protocol

# 4- Certification & type approval

- ✓ Objective: Identifying the type approval needs for testing
- ✓ e.g. International Organization of Motor Vehicle Manufacturers (OICA) 3 pillars concept for certification



## Audit/Assessment

### Simulation

- Understand the system to be certified
- Assess that the applied processes and design/test methods for the overall system development (HW and SW) are effective, complete and consistent
- Assess system's strategies/test performance to address (multiple) fault-conditions and disturbances due to deteriorating external influences; vehicle behavior in variations of critical scenarios
- Simulation: Test parameter variations (e.g. distances, speeds) of scenarios and edge-cases that are difficult to test entirely on a test track

## Physical Certification Tests

- Assess critical scenarios that are technically difficult for the system to cope with, have a high injury severity (in case the system would not cope with such a scenario) and are representative for real traffic
- Compare with critical test cases derived from simulation and validate simulation tools

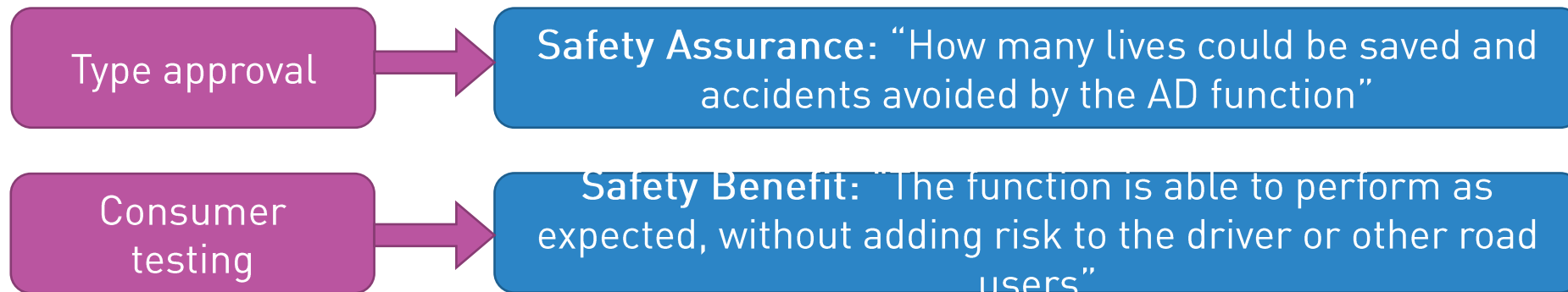
## Real World Test Drive

- Assess the overall system capabilities and behavior in non-simulated traffic on public roads and show that the system has not been optimized on specific test scenarios
- Assess system safety requirements like e.g. HMI and ODD
- Assess that the system achieves a performance comparable to an experienced driver



## 5- Needs on consumer testing

- ✓ Consumer testing → one final user of the HEADSTART methodology.
- ✓ Strictly focus on functions that are already available on the market (SAE level 2)
- ✓ Goal for consumer associations → Correctly communicate the capabilities and limitations of the functions to the final customer.



# 5- Needs on consumer testing

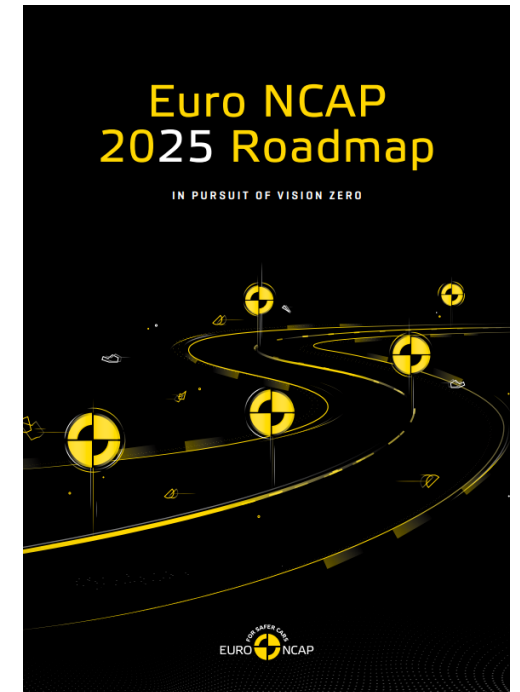
Euro NCAP 2025 <https://cdn.euroncap.com/media/30700/euroncap-roadmap-2025-v4.pdf>

## New features to be tested in Euro NCAP:

- Driver Monitoring (2020)
- Automatic Emergency Steering (2020, 2022)
- Autonomous Emergency Braking (2020, 2022)
- V2x (2024) 10SECONDARY SAFETY
- Whiplash/Rear-end Crash Protection (2020)
- Pedestrian and Cyclist Safety (2022)
- Tertiary Safety
- Rescue, Extrication and Safety (2020)
- Child Presence Detection (2022)

## Other Features:

- Automated Driving
- Cyber Security
- Trucks and Powered two-wheelers



# 6- Needs on testing Key Enabling Technologies

## ✓ Objectives:

1. Identification of the best use cases to test Key Enabling Technologies
2. Identification of specific testing needs for KETs

## ✓ Main use cases for testing KETs identified :

<b>Highway Pilot (Level 4)</b>
Urban and Suburban Pilot (Level 4)
Highly automated freight vehicles in Fully Automated Urban Vehicles (Level 5)
<b>Automated PRT/Shuttles on dedicated roads (Level 4)</b>
Automated Bus Chauffeur (Level 3)

Autonomous private vehicles on public roads (Level 5)
<b>Automated Truck Platooning (Level 2)</b>
Highly automated freight vehicles in Hub-to-Hub operation (Level 4)
Urban and Suburban Pilot (Level 4)
<b>Traffic Jam Chauffeur (Level 3)</b>



# HEADSTART

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Thank you!

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*Any questions?*

**Jean-Baptiste COGET**

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